#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Isao OTA et al.

Application No.: New U.S. National Stage of

PCT/JP03/008475

Filed: December 21, 2004 Docket No.: 122197

For: METHOD OF MILLING CERIUM COMPOUND BY MEANS OF BALL MILL

# TRANSMITTAL OF POWER OF ATTORNEY AND STATEMENT UNDER 37 CFR § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with 37 CFR §3.73(b), the undersigned hereby states that <u>NISSAN</u> CHEMICAL INDUSTRIES, LTD. is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. A copy of the assignment is attached hereto.

The undersigned is authorized to act on behalf of the assignee.

ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, CUSTOMER NO. 25944, TELEPHONE (703) 836-6400.

Respectfully submitted,

Joe S. Armstrong

Registration No. 36,430

JAO:JSA/cqc

Date: December 21, 2004

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### GENERAL POWER OF ATTORNEY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Owner Name:

NISSAN CHEMICAL INDUSTRIES, LTD.

hereby appoints the patent practitioners associated with Oliff & Berridge, PLC Customer No. 25944 as attorneys of record to prosecute any and all patents and patent applications in which this General Power of Attorney is filed, and all continuations and divisions thereof, owned in whole or in part by the above-named owner, and to transact all business in the Patent and Trademark Office.

The undersigned is authorized to execute this document as or on behalf of the owner.

ALL CORRESPONDENCE SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, CUSTOMER NO. 25944, TELEPHONE (703) 836-6400.

August 11, 2004

Date

Signature

Typed Name: Misao MIYAMOTO

Title: General Manager of Patent & Information Department

(if acting on behalf of an Owner)

## DT03 Rec'd PCT/PTO 2 1 DEC 2007

**FORM PTO-1390** (REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

An Application Data Sheet under 37 CFR 1.76.

A power of attorney and/or change of address letter.'

A substitute specification.

ATTORNEY'S DOCKET NUMBER 122197

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) New U.S. National Stage of PCT/JP03/008475

**CONCERNING A FILING UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE July 4, 2002 July 3, 2003 PCT/JP03/008475 TITLE OF INVENTION METHOD OF MILLING CERIUM COMPOUND BY MEANS OF BALL MILL APPLICANTS FOR DO/EO/US Isao OTA; Kenji TANIMOTO; Gen YAMADA; Noriyuki TAKAKUMA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. 図 a.  $\square$  is attached hereto (required only if not communicated by the International Bureau). b. A has been communicated by the International Bureau. c. 

 is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) 6. a. 

 is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. a. 

are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c.  $\square$  have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventors (35 U.S.C. 371(c)(4)). 9. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 10. (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12.  $\boxtimes$ *:*-A preliminary amendment. 13.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published international application under 35 U.S.C. 154(d)(4).

Other items or information: Transmittal and Statement of Power of Attorney

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10/518769

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)  New U.S. National Stage of		PCT/JP03/008475		122197	
PCT/JP03/008475  21.				CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):				OALOGEATIONS	1 10 002 01121
Noither international prelimin	ork 1.492(a)(1)-(				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$ 300.00				٤	
international Search Report					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$300.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$300.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$ 300.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$ 300.00					
Search Fee\$ 500.00					
Examination Fee\$ 200.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1000	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the				\$	
earliest claimed priority date (37 CFR 1.492(e)).					-
TOTAL PAGES OF APPLICATION OVER 100 ( - 100)	÷ 50	= †	x 250 =	\$	
tround up to next integer					
CLAIMS	NUMBER FILED		RATE	\$	
TOTAL CLAIMS	- 20	= 11	x 50.00 = x 200.00 =	\$ \$	
INDEPENDENT CLAIMS	- 3	<u> </u>	+ 360.00 =	\$	
MULTIPLE DEPENDENT CLAIM(S)(if applicable) + 360.00 = TOTAL OF ABOVE CALCULATIONS =				\$1000	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$	
SUBTOTAL =				\$1000	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1000	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be				\$	
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$1000	
TOTAL FEES ENCLOSED =				4	
				Amount to be refunded:	\$
				charged:	\$
a. A check in the amount of \$1,000.00 to cover the above fees is enclosed.					
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this					
sheet is enclosed					
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))					
must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:					
OLIFF & BERRIDGE, PLC					
Customer Number: 25944 NAME: NAME: A. Offiff REGISTRATION NUMBER: 27,075					
NAME Inel			S. Armstrong		
				ON NUMBER: 36,4	130
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INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 New U.S. National Stage of 122197 PCT/JP03/008475 PCT/JP03/008475 **CALCULATIONS** PTO USE ONLY ☐ The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.......\$ 300.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO......\$ 300.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO......\$ 300.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ......\$ 300.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and \$1000 **ENTER APPROPRIATE BASIC FEE AMOUNT =** Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the \$ earliest claimed priority date (37 CFR 1.492(e)). TOTAL PAGES OF APPLICATION OVER 250 = \$ ÷ 50 = † 100 ( - 100) tround up to next integer NUMBER EXTRA RATE \$ NUMBER FILED **CLAIMS** 50.00 = \$ 11 TOTAL CLAIMS - 20 2 200.00 = \$ х INDEPENDENT CLAIMS - 3 360.00 = \$ MULTIPLE DEPENDENT CLAIM(S)(if applicable) TOTAL OF ABOVE CALCULATIONS = \$1000 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$1000 Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$ the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$1000 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$1000 Amount to be refunded: \$ charged: A check in the amount of \$1,000.00 to cover the above fees is enclosed. a. in the amount of \$\_\_\_\_ to cover the above fees. A duplicate copy of this Please charge my Deposit Account No. \_ b. sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to c. Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. information should not be included on this form. Provide credit card information and authorization on PTO-2038. Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: **OLIFF & BERRIDGE, PLC** ames K. Wiff Customer Number: 25944 NAME: RATION NUMBER: **97**.075 REGIS/ NAME: Joel S. Armstrong December 21, 2004 Date REGISTRATION NUMBER: 36,430